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RICHARD ALLISON

CEO
SWBC Investment Advisory Services

EDUCATION



University of Georgia

Master of Business Administration in Finance

Bachelor of Business Administration in Finance

The University of Pennsylvania's Wharton School of Business

Executive Management for Trust Executives

EXPERIENCE



35+ years of experience

LICENSES



Certified Financial Planner—CFP™

Chartered Financial Consultant—ChFC®

Chartered Life Underwriter—CLU® Series 65

ORGANIZATIONS



Advisory Board, Goldman Sachs

TARGET AUDIENCE



CFOs, HR Executives, CEOs, General Counsel, and Benefit Specialists

MY SPECIALTY:

I provide effective solutions to employer-sponsored retirement plans with respect to fiduciary risk management, investment decisions, cost management, and overall plan success. My goal is to ensure the best possible outcome for both the retirement plan sponsor and retirement plan participants.

PRODUCTS AND SERVICES:

SWBC Investment Advisory Services derives 100 percent of its revenue from providing advisory services to retirement plans. We accept the fiduciary responsibility of our investment recommendations to retirement plans and agree to hold the plan sponsor, officers, directors, and retirement plan committees harmless for our duties.

MY PRESENTATIONS:

- Best Practices for an Effective Retirement Plan Committee
- Covering the Bases—Agreements, Practice Standards, and Minutes
- Investment Reviews: Effective Solutions for Universal Challenges
- Due Diligence: A Guide to Evaluating Retirement Investment Advisors
- Lessons Learned from Retirement Plan Litigation—Are You Asking the Right Questions?

CONFERENCES WHERE I'VE PRESENTED:

- Mid-Sized Retirement & Healthcare Plan Management Conference
- CFO Playbook for Private Companies Conference
- HRSouthwest Conference

MY PUBLICATIONS:

- Department of Labor Fiduciary Ruling: 5 Things You Need to Know blog.swbc.com/businesshub/department-of-labor-fiduciary-ruling-5-things-you-need-to-know
- Recruit, Retain, Retire: The Employee Lifecycle blog.swbc.com/businesshub/recruit-retain-retire-the-employee-lifecycle